



New York State
Parks, Recreation and
Historic Preservation

DRI AND NY FORWARD PROGRAM + HISTORIC PRESERVATION



STATE HISTORIC PRESERVATION OFFICE (SHPO)
DEPARTMENT OF STATE (DOS)

AUGUST 20, 2026

AGENDA

1. State Historic Preservation Office (SHPO) and DRI/NY Forward
2. How to Check Historic Status of Properties
SHPO Review Process for DRI/NY Forward
3. Historic Preservation Do's and Don't's
4. Q&A



PURPOSE AND GOALS OF THIS SESSION

- Learn about the State Historic Preservation Office review process
- Ensure impacts on State/National Register-eligible or listed properties are considered and avoided or mitigated during the DRI and NY Forward planning process for state funded projects.
- Ensure SHPO consultation is completed early in the project's planning process. Late reviews when projects are already underway or completed could lead to costly delays.



STATE HISTORIC PRESERVATION OFFICE (SHPO) + DRI/NY FORWARD



STATE HISTORIC PRESERVATION OFFICE

- Within the Office of Parks, Recreation and Historic Preservation (OPRHP).
- New York State Historic Preservation Office (SHPO) helps communities revitalize their historic, archeological, and cultural resources.
- Administers State and National Registers of Historic Places, historic rehabilitation tax credit programs, historic preservation technical assistance, etc.
- Works with Department of State (DOS) to ensure projects proposed through the DRI and NY Forward Programs are reviewed through a historic preservation lens.



HISTORIC PRESERVATION + DRI/NY FORWARD PROGRAMS

- Proposed projects submitted through the DRI/NY Forward programs are reviewed based on their potential impacts to State and National historic resources.
- Projects awarded state funding require a formal historic technical review by SHPO.
- Could include properties within a designated State/National Historic District, designated buildings, or listed as eligible for listing on the State/National Historic Registries.



HISTORIC PRESERVATION + DRI/NY FORWARD PROGRAMS

- Its important for project applicants to engage with SHPO during the DRI/NY Forward planning process to:
 - Assess feasibility of proposed project scope (at a high-level)
 - Determine if there are any non-starter project proposals
 - Understand potential historic preservation implications on proposed renovations/alterations
 - Determine if historic preservations requirements will have an impact on project design and budget estimates



HOW TO CHECK HISTORIC STATUS OF PROPERTIES



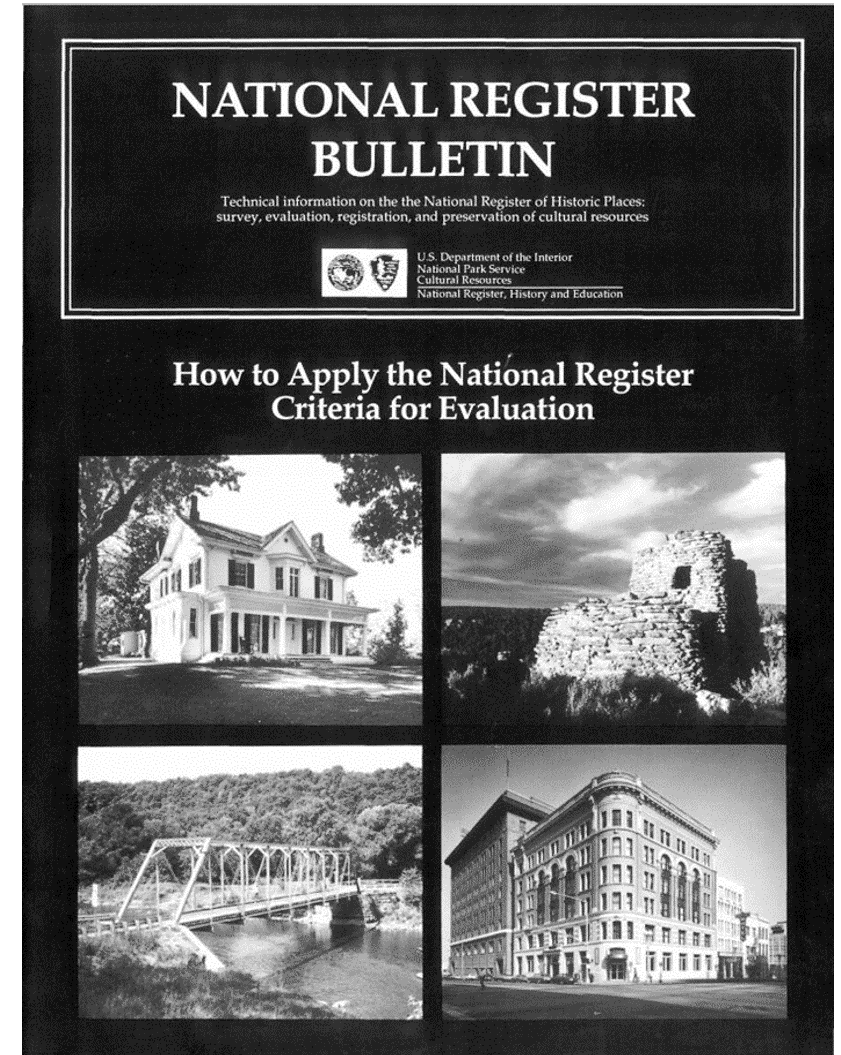
WHAT ARE THE STATE AND NATIONAL REGISTERS?

- Official lists of buildings, structures, districts, objects and sites with historic significance.
- **State and National Registers of Historic Places**
Criteria for Evaluation are found in the *Code of Federal Regulations*, Title 36, Part 60.

The criteria help SHPO to determine what qualifies a property for listing:

- Must meet at least one of the criteria AND
- Retain historic integrity of those features necessary to convey significance

Generally buildings or properties that are 50 years old or older



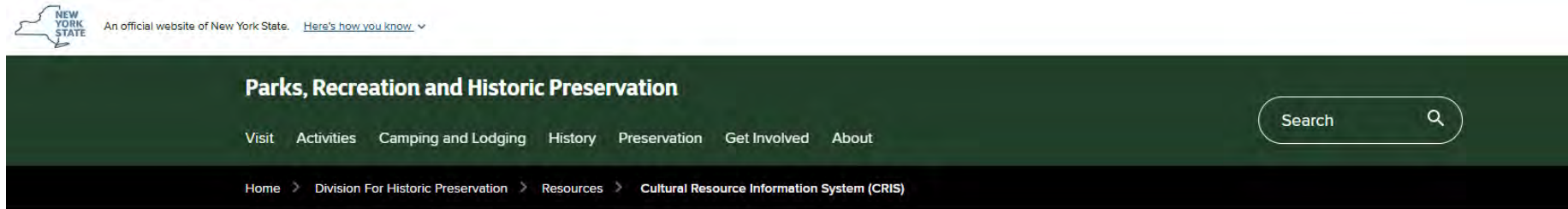
WHAT IS THE RESULT OF A LISTING IN THE REGISTERS?

- Provides official recognition that a property is significant to the nation, state or local community
- Consideration in the planning of state and federal projects that are sponsored, licensed, or assisted by state and federal agencies
- Owners of listed properties may be eligible to apply for state and/or federal Historic Rehabilitation Tax Credit Programs
- Local governments and not-for-profits that own listed properties may apply for state and federal preservation grants for planning and rehabilitation projects

Please note: Private owners of National and State Register properties using private funds may sell, alter or demolish these properties within existing local zoning regulations. However, projects involving federal or state funding or permits are reviewed by the State Historic Preservation Office (SHPO) staff with the goal of avoiding, minimizing, or mitigating effects on historic resources.

CHECKING HISTORIC STATUS

Online Tools - CRIS <https://parks.ny.gov/preservation/resources/cris>



Cultural Resource Information System (CRIS)

Resources

Cultural Resource Information System (CRIS)

Historic Resources Survey Program

Technical Review

Preservation Assistance

The New York State [Cultural Resource Information System \(CRIS\)](https://parks.ny.gov/preservation/resources/cris) is the State Historic Preservation Office's online cultural resources data management and GIS tool. CRIS supplies individuals and communities with information and tools to support the preservation and revitalization of New York State's rich heritage and culture.

CRIS was developed by the New York State Office of Parks, Recreation and Historic Preservation's Division for Historic Preservation with funding in part from the Federal Highway Administration.

CRIS supports historic preservation programs administered by the Division for Historic Preservation, including:

- State and federal tax credits for historic properties
- Nominations to the New York State and National Registers of Historic Places
- Environmental reviews under Section 106 (National Historic Preservation Act), Section 14.09 (New York State Historic Preservation Act), and SEQRA (State Environmental Quality Review Act)
- Certified Local Government (CLG) Program
- Architectural and archaeological surveys



Please read and agree to our Legal Disclaimer before proceeding.

I AGREE

I DISAGREE

Disagree redirects to <https://parks.ny.gov/shpo>

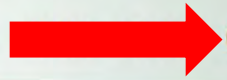
Cultural Resource Information System Legal Disclaimer

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SEARCH

COMMUNICATE

Criteria
Spatial
Results

1. Navigate to your area of interest

Option A: Zoom to a County or Municipality

Select County...
Select Municipality...
Zoom

Option B: Find an Address Location

Find Address

2. Define or refine the location/area for your search

Click a button below to activate the draw tool and draw the shape on the map.

Point
Line
Rectangle
Circle
Polygon

3. Generate a search radius around your graphic (Optional)

Generate a Foot buffer

Generate Buffer

Search
Reset



12140.000097: Building - Listed

9 North Main St, Perry NY 14530

Close

Overview Inventory Data NR Status (5) MCDs (1) Children (0) Determinations (0) Photos (3) Atts. (0) Agmts. (0) Projects (0) Surveys (0) Submitted (1)

Type: Building

Classification: Building

Parent USN: [Perry Downtown Historic District \(12140.000081\)](#)

Is Contributing: True

Is Demolished: ☐

Is in a Certified District: ☐

Is a National Historic Landmark: ☐

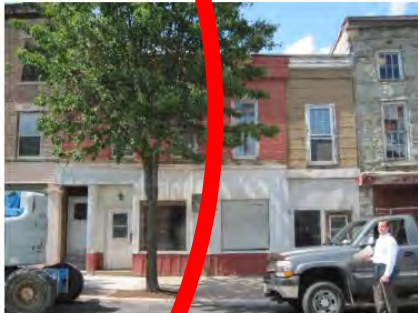
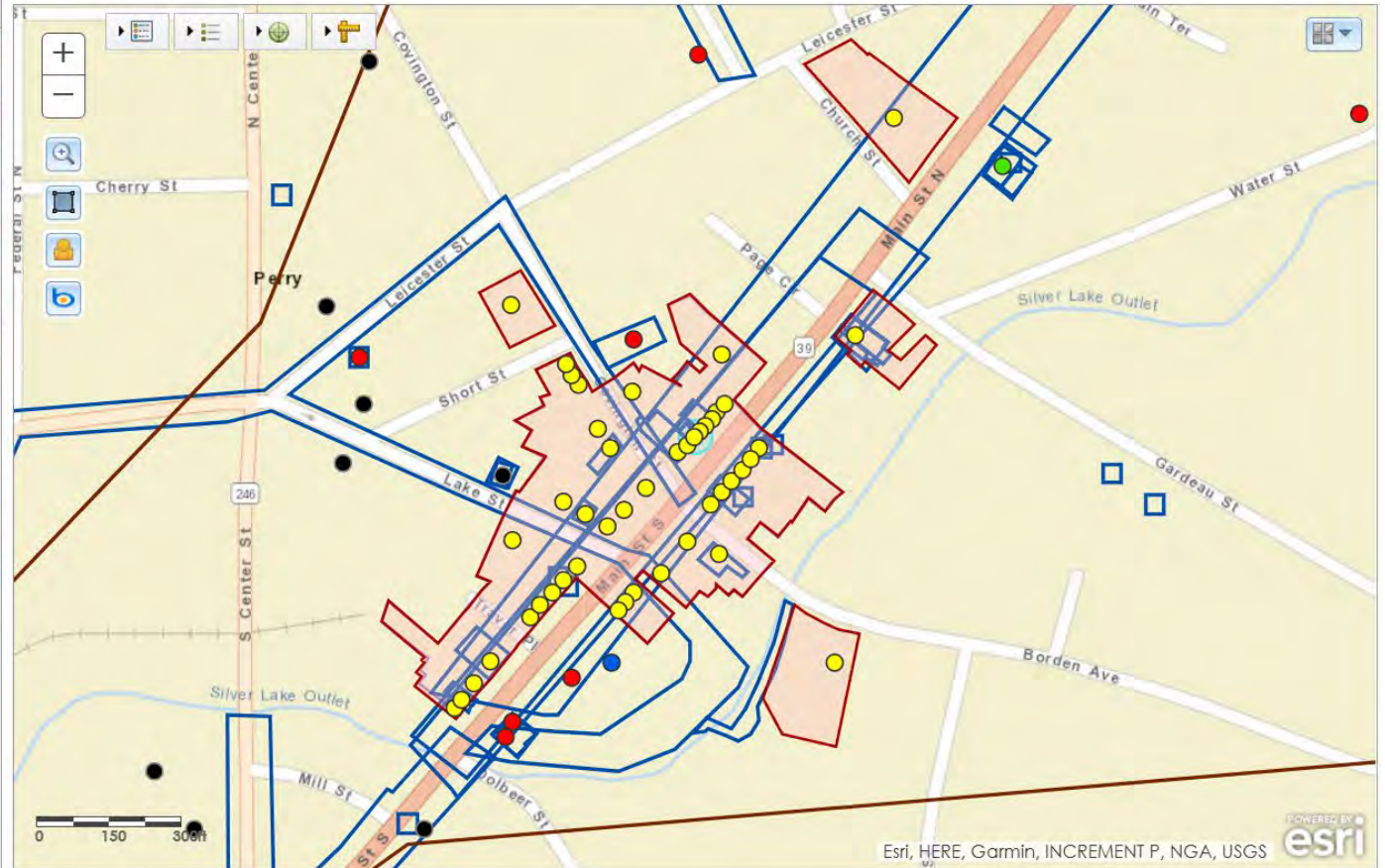
Is State Board Approved: ☒

Is State Register Listed: ☒

Eligibility: Listed

Notes:

County	Mad
Wyoming	PERRY (Village)



SHPO REVIEW PROCESS FOR DRI/NY FORWARD



WHAT DOES THE INITIAL CONSULTATION PROCESS LOOK LIKE?

1. Project sponsors submit Open Call for Projects – Project Form
2. Consultant team checks eligibility status via CRIS to determine projects most likely subject to SHPO technical review
3. Consultant team submits list of all proposed projects to SHPO. SHPO to provide comments on those projects likely requiring technical review, if awarded.
4. Consultant team to share feedback with project sponsor. A meeting between the project sponsor and SHPO may be scheduled, if needed.

NOTE: if a project is more advanced and already has construction documents prepared, projects should be directly submitted into CRIS during the Open Call for Projects process for official SHPO review.

SHPO CONSULTATION

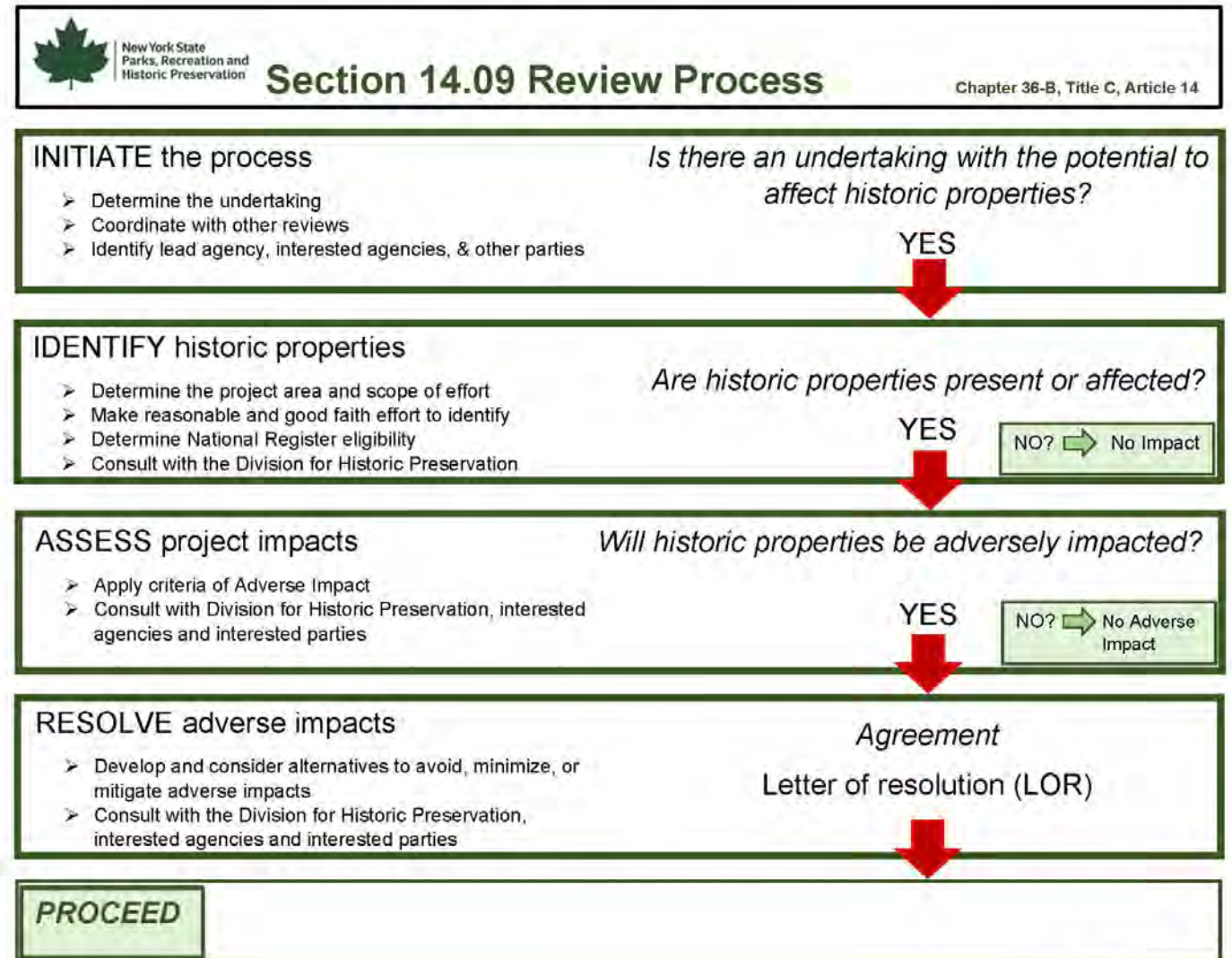
- The review process during the DRI/NY Forward program serves as an information gathering exercise to understand potential implications of project impacts, if the project is ultimately awarded funding.
- If a project is awarded funding, then a formal SHPO Consultation will take place during the project design phase.

DRI/NY FORWARD SHPO REVIEW ITEMS

- Property Address
- S/NR-Eligible or Listed or Historic District status
- Scope of work
- Current photos of all work areas
- Does the scope include altering or removing historic wood windows, exterior doors, and/or storefronts?
- Does the scope include altering or removing original interior features such as staircases or decorative fireplaces?

SECTION 14.09 REVIEW PROCESS

- If a project requires an official SHPO consultation review, here is what the process would look like.



Rev. September 2022

SECTION 428.7 | ASSESSMENT OF IMPACT

What is the “Project Impact Area”?

Project Impact Area shall mean the geographic area or areas within which a proposed undertaking may cause any change, beneficial or adverse, in the character or use of an eligible or registered property.

What are the findings?

- No Adverse Impact
- No Adverse Impact with Conditions
- Adverse Impact
- No Historic Properties Impacted



HISTORIC PRESERVATION “DO’S AND DON’TS”

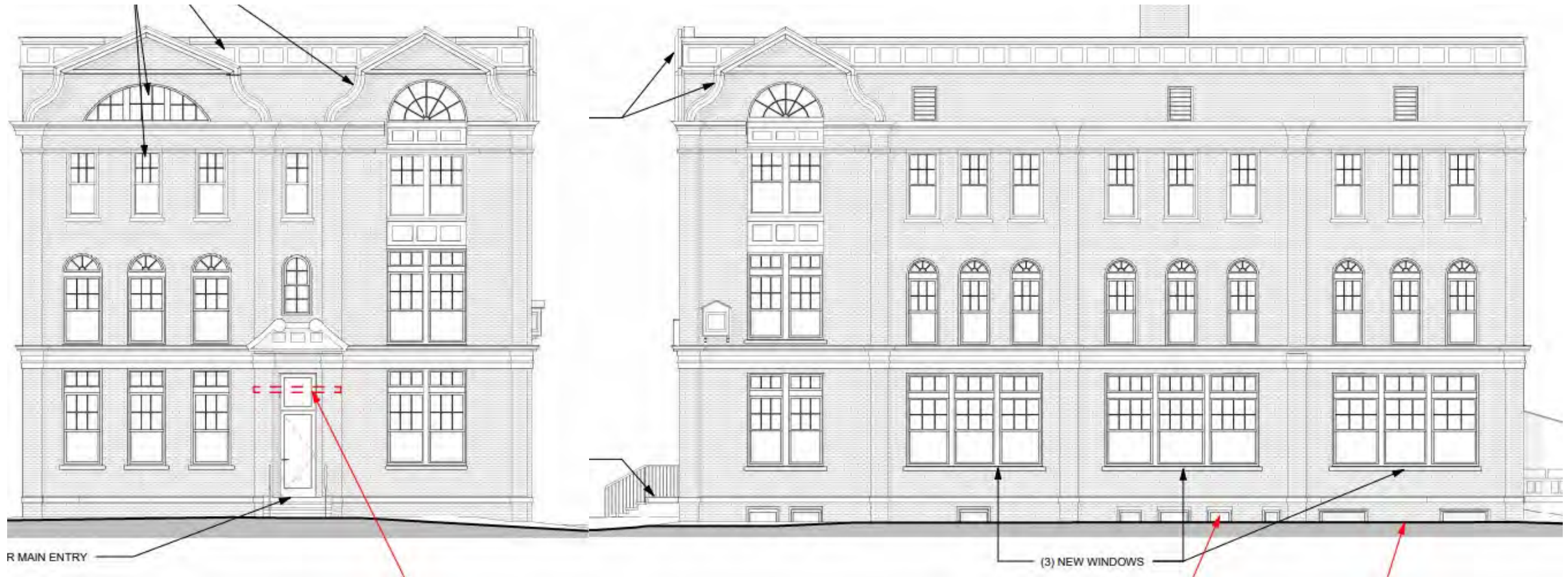


“DO’S & DON’T’S” – WINDOWS & WINDOW REPLACEMENT



- Windows are character-defining features
- Goal should be repair or replace with exact matches

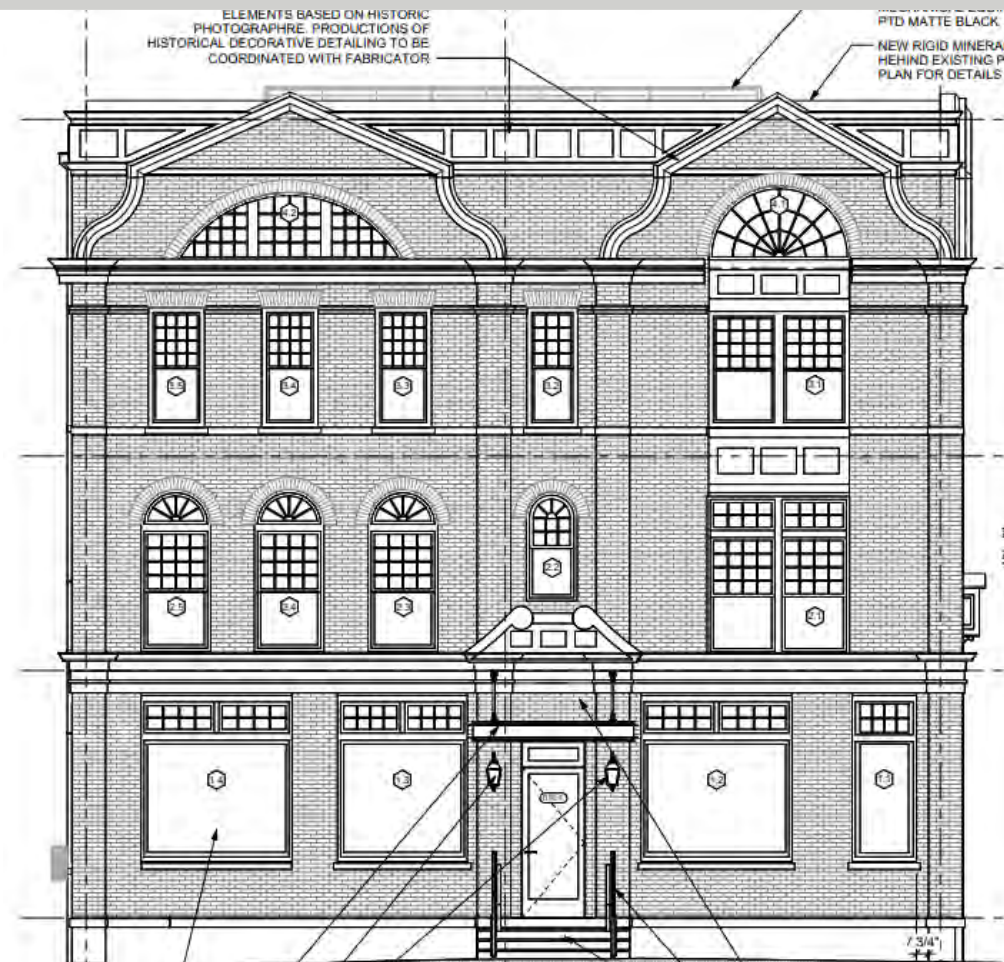
“DO’S & DON’T’S” – WINDOWS & WINDOW REPLACEMENT



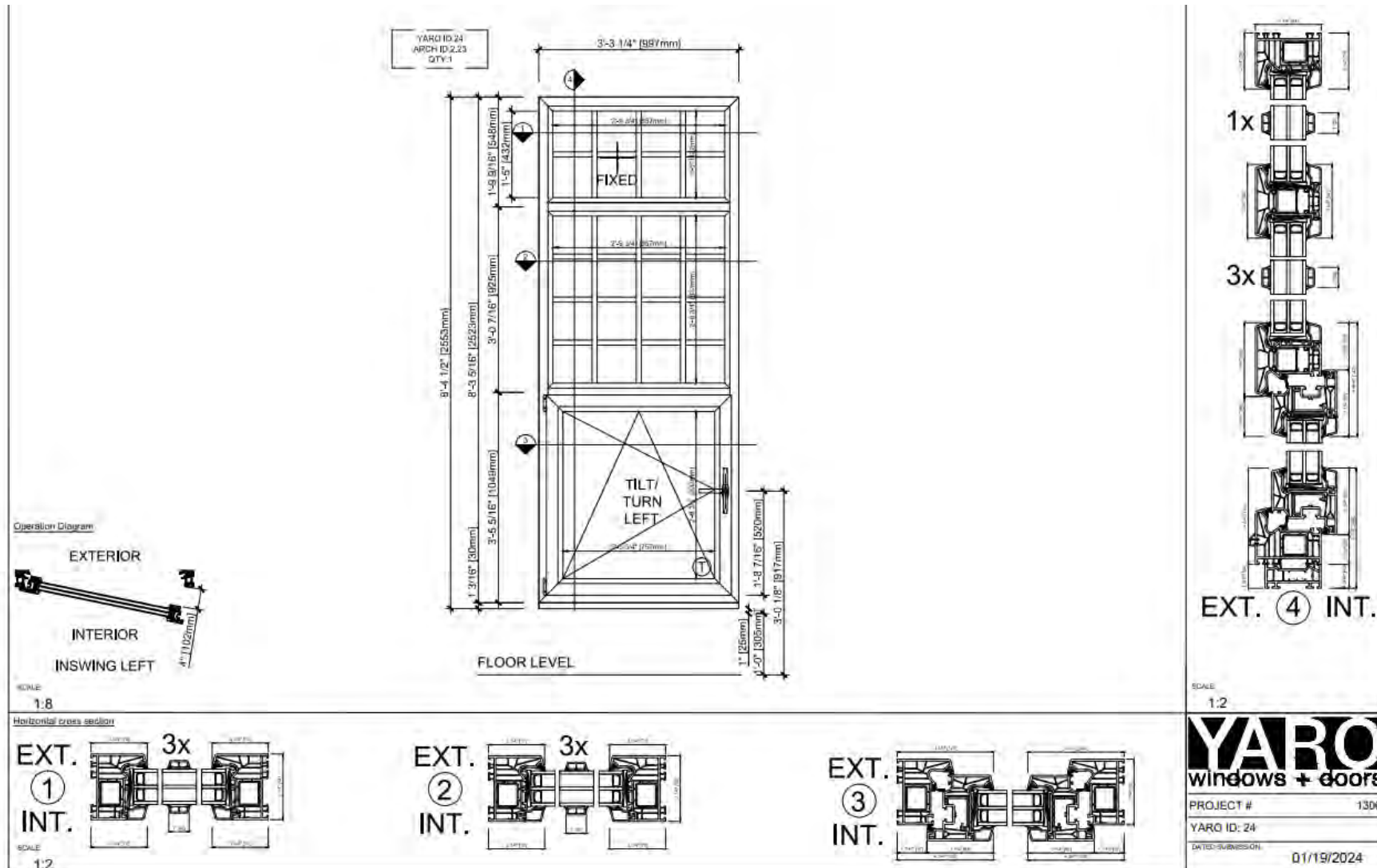
- Initial proposal was not an exact match

“DO’S & DON’T’S” – WINDOWS & WINDOW REPLACEMENT

- Revised windows match the historic
 - ✓ Configuration
 - ✓ Muntin pattern/ number of panes
 - ✓ Operation (double-hung)
 - ✓ Dimensions
 - ✓ Profiles
 - ✓ Shadowlines



“DO’S & DON’T’S” – WINDOWS & WINDOW REPLACEMENT



- Detailed window drawings with dimensions

“DO’S & DON’T’S” – WINDOWS & WINDOW REPLACEMENT



- Historic character is retained

“DO’S & DON’T’S” – STOREFRONTS



- Goal should be to retain and repair historic storefronts
- When existing storefronts are not historic, goal should be to install new infill based on historic documentation

“DO’S & DON’T’S” – STOREFRONTS

STOREFRONT WITH TRADITIONAL MATERIALS

A Cornice Can Be Constructed With Wood Framing, Plywood And Moldings With A Sloping Sheet Metal Cap To Shed Water. The Cornice Spans The Top Of The Storefront, Often Covering A Structural Beam Or Unfinished Brick.

Transoms Are Optional Design Elements That Help To Break Up The Massive Effect Of Very Large Sheets Of Glass. Transom Windows Can Be Clear, Tinted, Or Stained Glass.

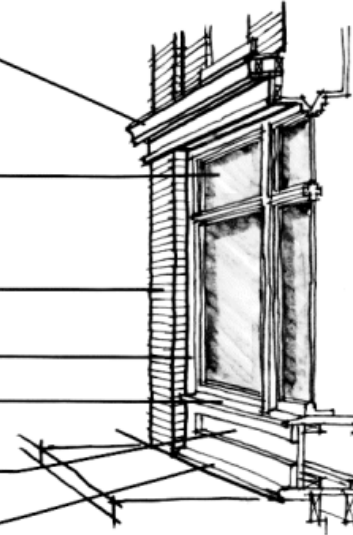
Masonry Piers Are Uncovered And Match The Upper Facade.

The Storefront Is Recessed 6 Inches Into The Opening.

The Storefront And Windows Are Framed In Wood. The Sill Slopes Forward For Drainage.

The Bulkheads Are Constructed With Wood Framing And A Plywood Back With Trim Applied To It.

The Storefront Rests On A Masonry Or Concrete Base To Prevent Water Damage.



- Traditional storefront components



STOREFRONT WITH CONTEMPORARY MATERIALS

A Cornice Is Made With Sheet Metal Over A Wooden Frame.

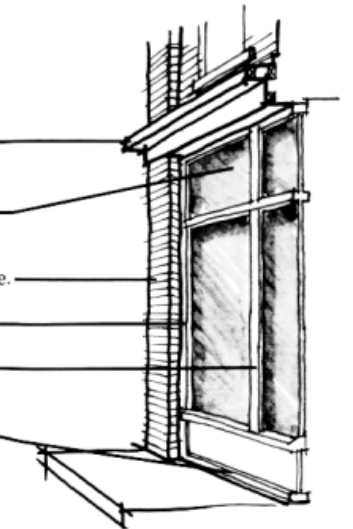
Optional Transoms Can Be Stained Glass, Clear Glass Or Opaque.

Masonry Piers Are Uncovered And Match The Upper Facade.

The Storefront Is Recessed 6 Inches Into The Opening.

The Storefront And Windows Are Framed With Dark Anodized Aluminum Or Painted Aluminum.

The Storefront Rests On A Masonry Or Concrete Base.



“DO’S & DON’T’S” – STOREFRONTS



- Non-historic storefront infill and awnings, inconsistent awning signage



Department
of State

“DO’S & DON’T’S” – STOREFRONTS



- New storefront infill and transom signage, design based on historic photograph

“DO’S & DON’T’S” – STOREFRONTS



- Historic character restored with new storefront infill

“DO’S & DON’T’S” – ROOF-TOP ADDITIONS



- Goal should be to hide or minimize visibility of new additions

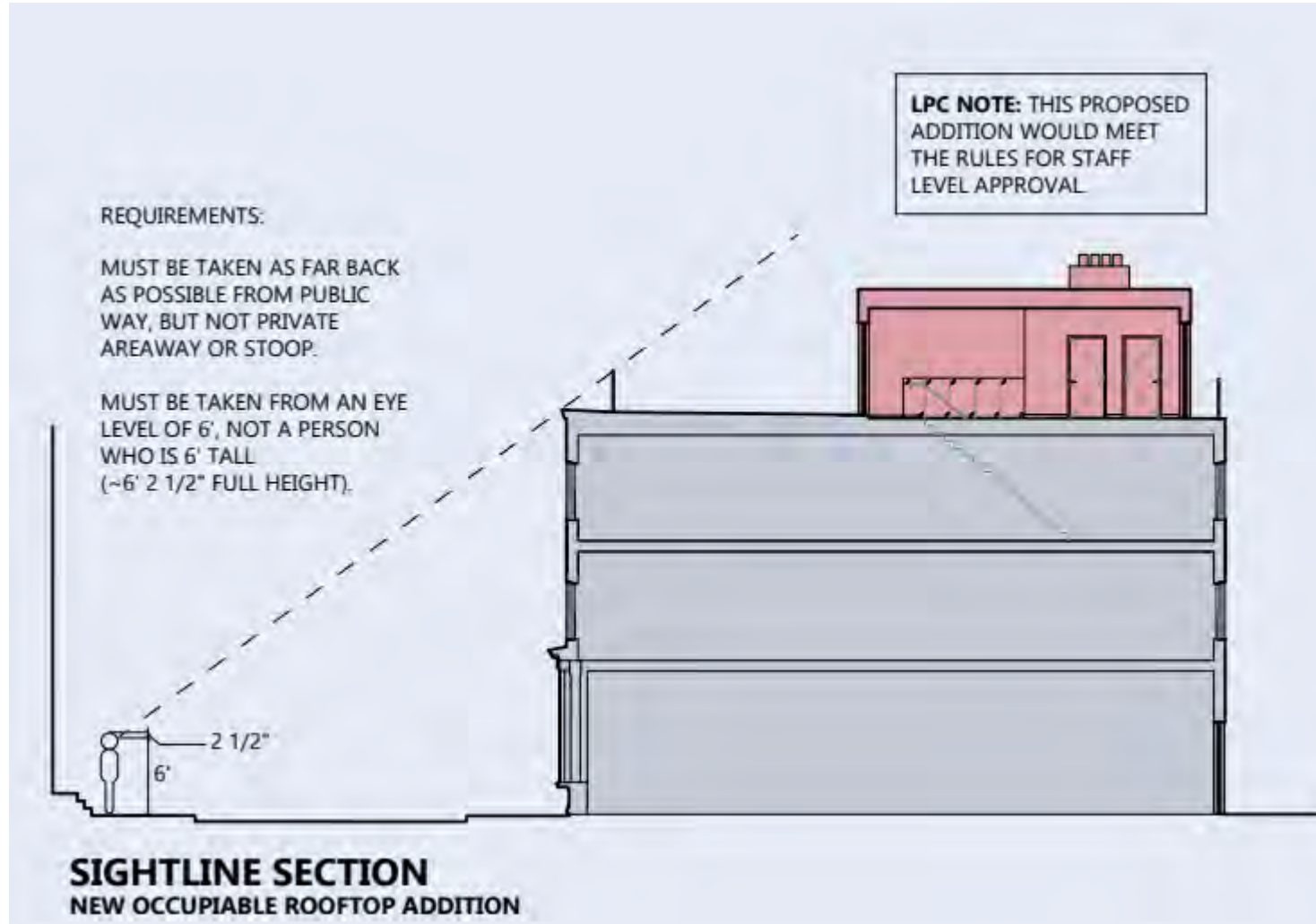
Additions

“DO’S & DON’T’S” – ROOF-TOP ADDITIONS



- New addition should not overwhelm the historic building
 - ✓ Number of stories
 - ✓ Scale
 - ✓ Massing
 - ✓ Positioning in relation to façade

“DO’S & DON’T’S” – ROOF-TOP ADDITIONS



- New addition is a single story and pushed back
- Sightline study shows it will not be visible from the street

“DO’S & DON’T’S”: ELEVATOR CORE ADDITIONS



- ADA improvements
 - ✓ Avoid placement on the primary façade
 - ✓ Avoid covering or removing character-defining features

THINGS TO KEEP IN MIND WITH HISTORIC PROPERTIES

- **DO** assume SHPO technical review
- **DO** assume SHPO will recommend repair of historic windows and storefronts
- **AVOID** full demolition and interior gutting
- **AVOID** visible roof-top additions
- **AVOID** adding fake historical features to storefronts and facades



RESOURCES – BEST PRACTICES FOR PRESERVATION

- Troy, NY Historic District Design Guidelines:
<https://hcr.ny.gov/system/files/documents/2020/04/city-of-troy.pdf>
- NYC Landmarks Preservation Commission Permit Application Guide:
<https://www.nyc.gov/site/lpc/applications/permit-application-guide.page>
- The NPS/Secretary's Standards for Rehab:
<https://www.nps.gov/articles/000/treatment-standards-rehabilitation>



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QUESTIONS?



